

Seiberg-Witten curves from 5-brane webs with orientifold planes

Futoshi Yagi

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This talk is mainly based on

JHEP 11 (2023) 178: Hirotaka Hayashi, Sung-Soo Kim, Kimyeong Lee, F.Y.

JHEP 06 (2021) 004: Xiaobin Li, F.Y.

JHEP 1711 (2017) 041: Hirotaka Hayashi, Sung-Soo Kim, Kimyeong Lee, F.Y.

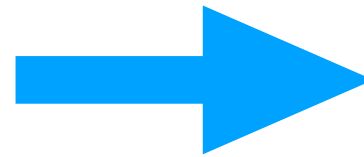
String Theory and Quantum Field Theory 2024, January 30

Review of Seiberg-Witten curves

Seiberg-Witten Theory

[’94 Seiberg, Witten]

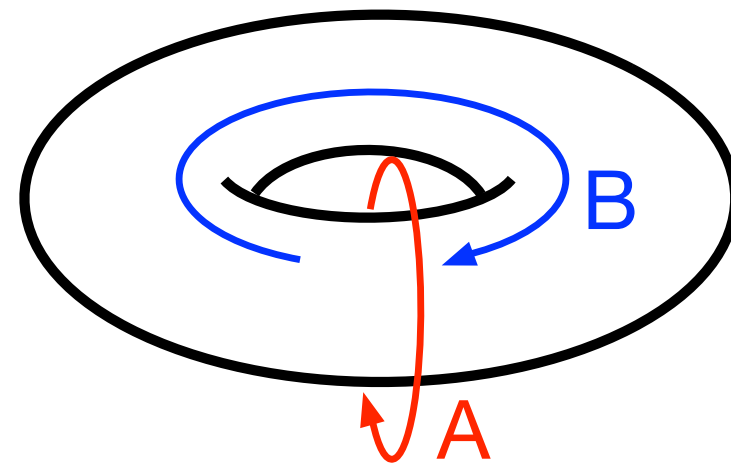
Seiberg-Witten 1-form
on
Seiberg-Witten curve



Effective Prepotential of
4d N=2 gauge theory
at Coulomb phase

For SU(2) gauge theory

$$a = \oint_A \lambda_{\text{SW}}$$
$$\frac{\partial F(a)}{\partial a} = \oint_B \lambda_{\text{SW}}$$



$F(a)$: Effective prepotential at Coulomb phase

λ_{SW} : Seiberg-Witten 1-form

Various approaches for Seiberg-Witten curve

- **Original field theoretic approach**

['94 Seiberg, Witten], ...

- **Spectral curve of the integrable system**

['95 Gorsky, Krichever, Marshakov, Mironov, Morozov], ['95 Martinec, Warner],

- **Geometric engineering (Calabi-Yau compactification)**

['96 Katz, Klemm, Vafa], ...

- **Brane setup (Hanany-Witten type, 5-brane web)**

['97 Witten], ...

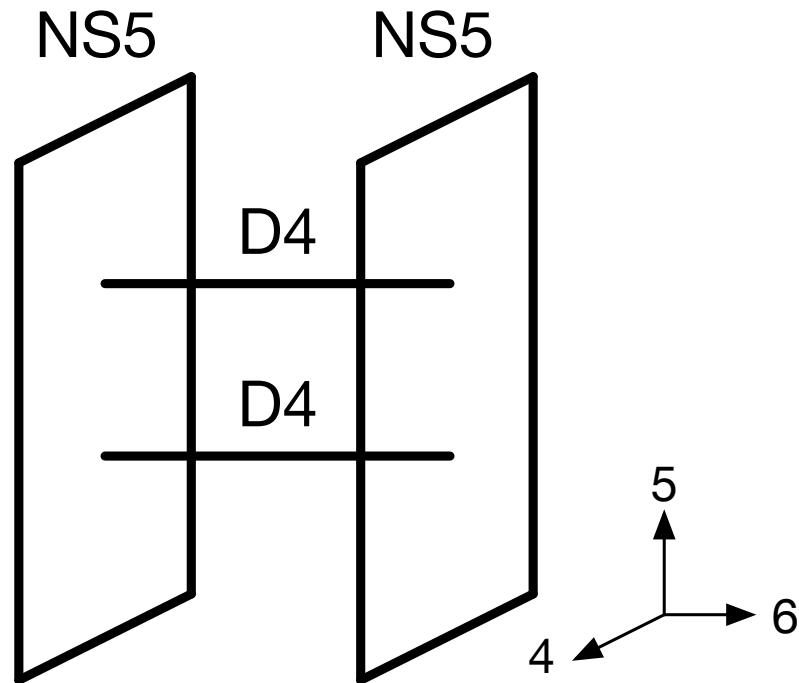
- **6d $N=(2,0)$ on Riemman surface (class S)**

['09 Gaiotto],

⋮

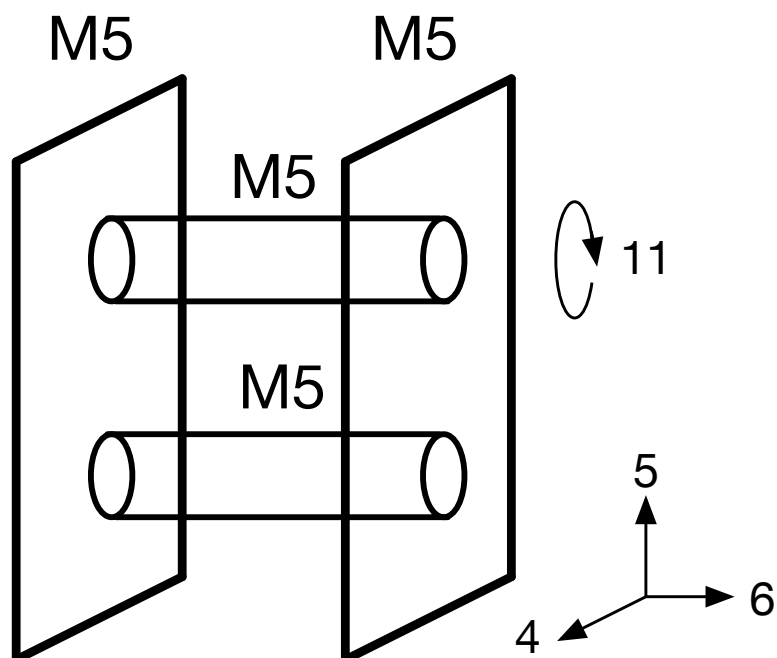
Seiberg-Witten Curve from brane setup [’97 Witten]

Hanany-Witten type brane setup for 4d N=2 SU(N) gauge theory



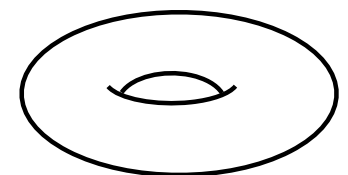
	0	1	2	3	4	5	6	7	8	9
NS5-brane	—	—	—	—	—	—	•	•	•	•
D4-brane	—	—	—	—	•	•	—	•	•	•

M-theory uplift



“=”

SW curve

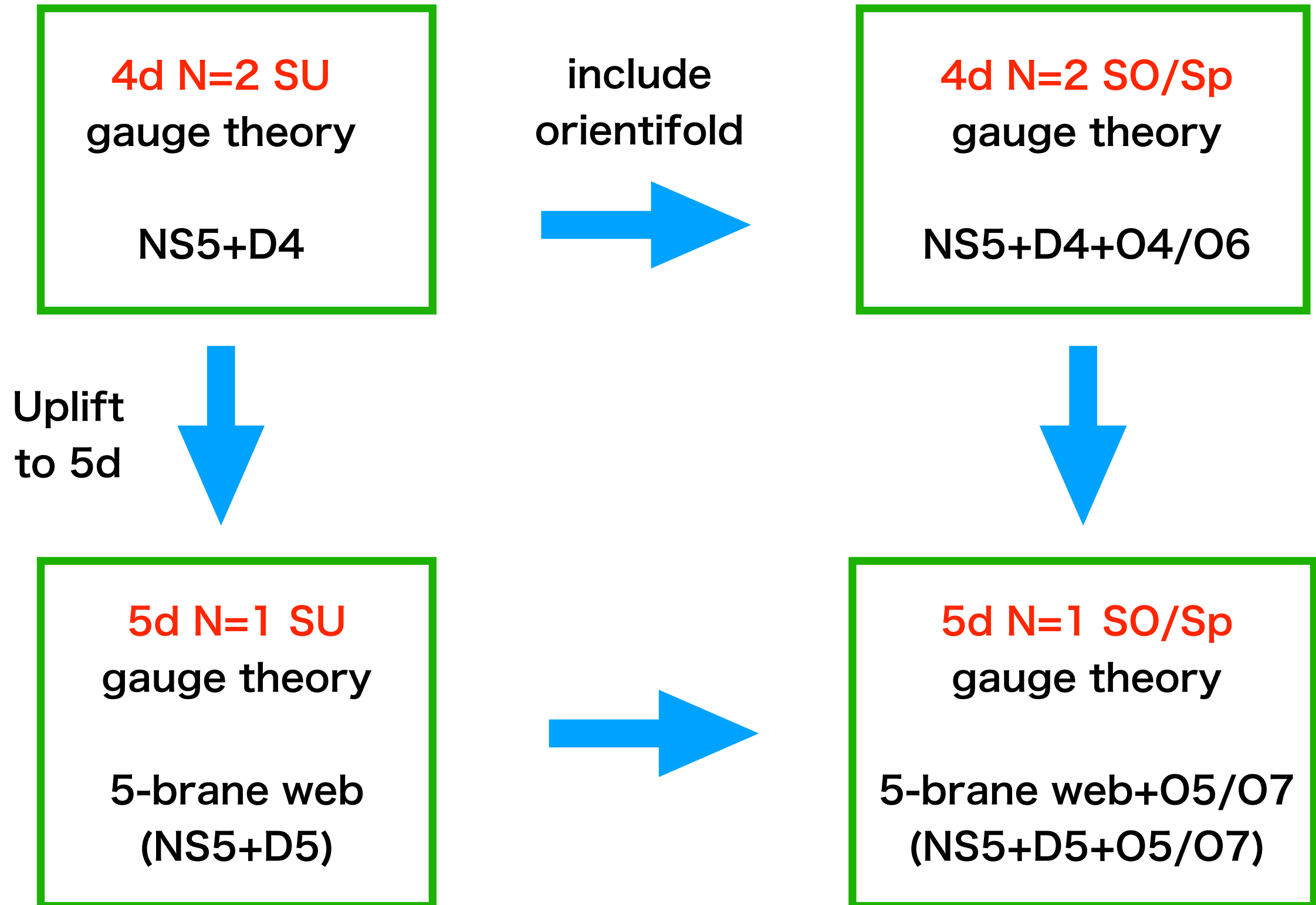


$$t^2 + \underline{p_2(v)}t + 1 = 0$$

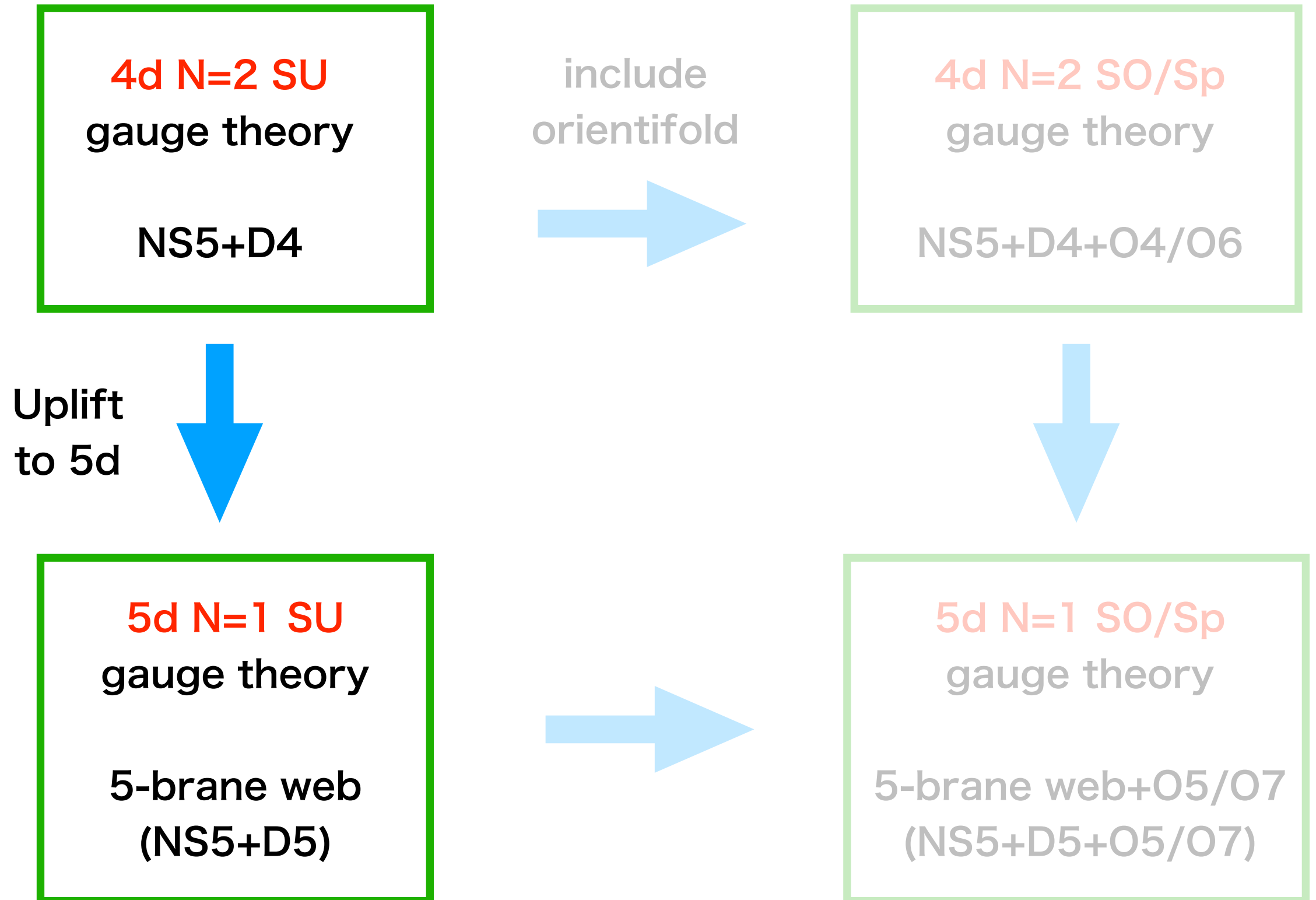
Degree 2 polynomial of v

$$v = x_5 + ix_4, \quad t = e^{-\frac{x_6 + ix_{11}}{R_M}}$$

Generalization



Generalization



Seiberg-Witten Curve for 5d N=1 gauge theory

['96 Nekrasov]
cf ['96 Seiberg]

5d N=1 gauge theory on S^1

**Coulomb phase
(VEV for vector multiplet)**

RG flow

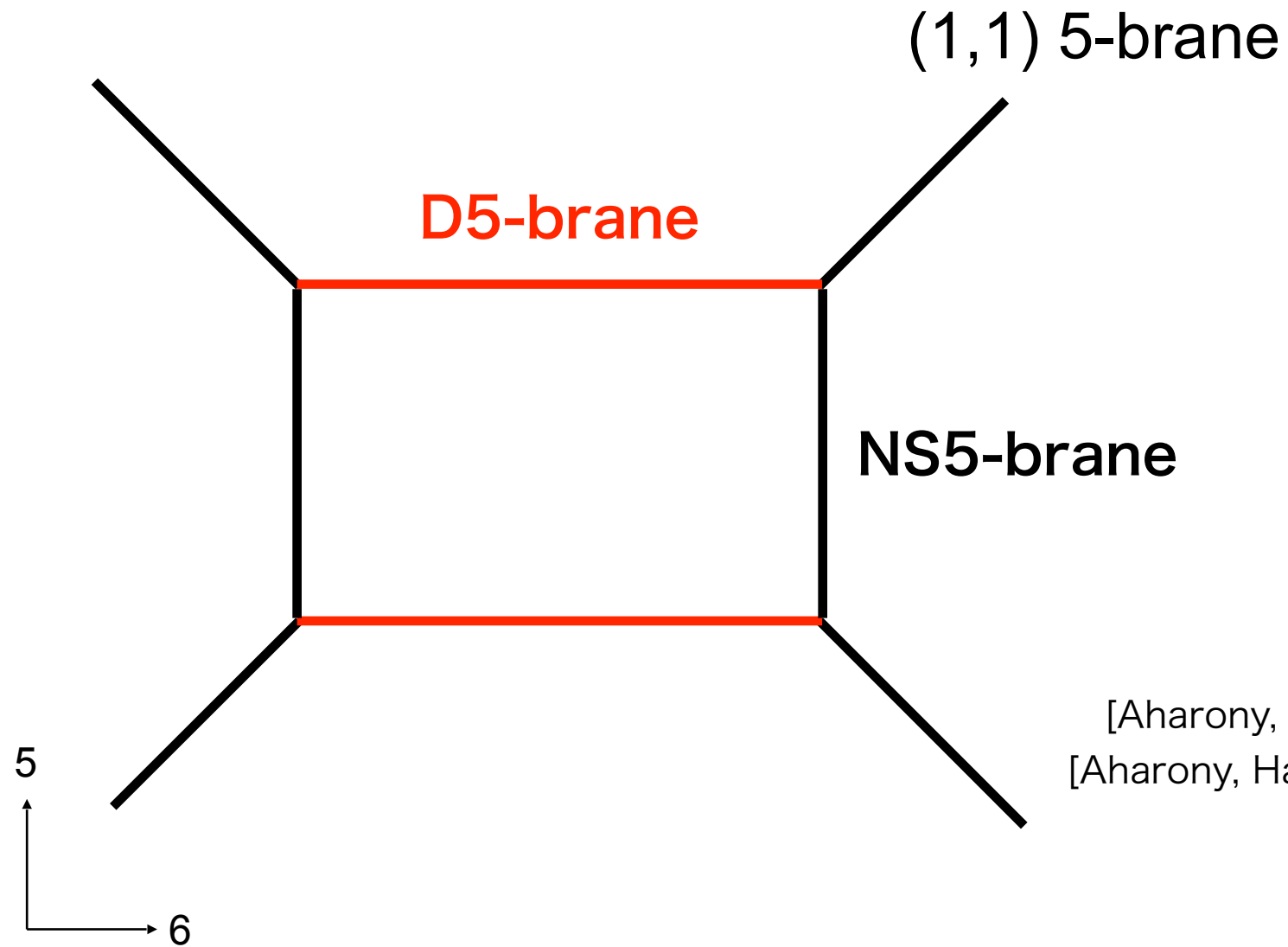
All the Kaluza-Klein modes
are integrated out

4d N=2 Abelian gauge theory

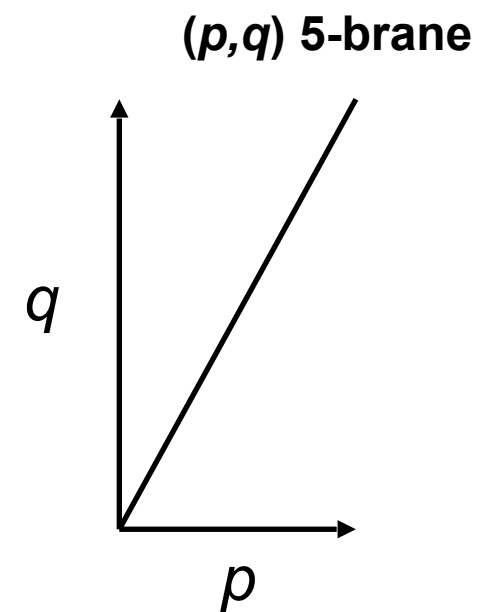
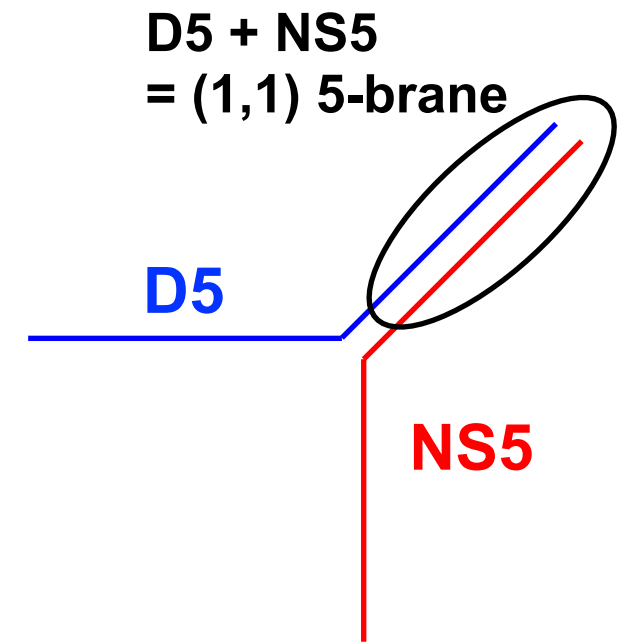
Also in this case, the effective prepotential is given
in terms of **Seiberg-Witten curve**

5d N=1 gauge theory from (p,q) 5-brane web

5D N=1 SU(2) SYM



[Aharony, Hanany '97]
[Aharony, Hanany, Kol '97]



	0	1	2	3	4	5	6	7	8	9
NS5-brane	—	—	—	—	—	—	•	•	•	•
D5-brane	—	—	—	—	—	•	—	•	•	•
(p,q) 5-brane	—	—	—	—	—	(p,q)		•	•	•

Seiberg-Witten Curve from 5-brane web

[’97 Brandhuber, Itzhaki, Sonnenschein, Theisen, Yankielowicz]

Type IIB: 5-brane web



T-duality along
direction 4

	0	1	2	3	4	5	6	7	8	9
NS5-brane	—	—	—	—	—	—	•	•	•	•
D5-brane	—	—	—	—	—	•	—	•	•	•
(p,q) 5-brane	—	—	—	—	—	(p,q)		•	•	•

Type IIA: NS5-D4



Uplift

	0	1	2	3	4	5	6	7	8	9
NS5-brane	—	—	—	—	—	—	•	•	•	•
D4-brane	—	—	—	—	•	•	—	•	•	•

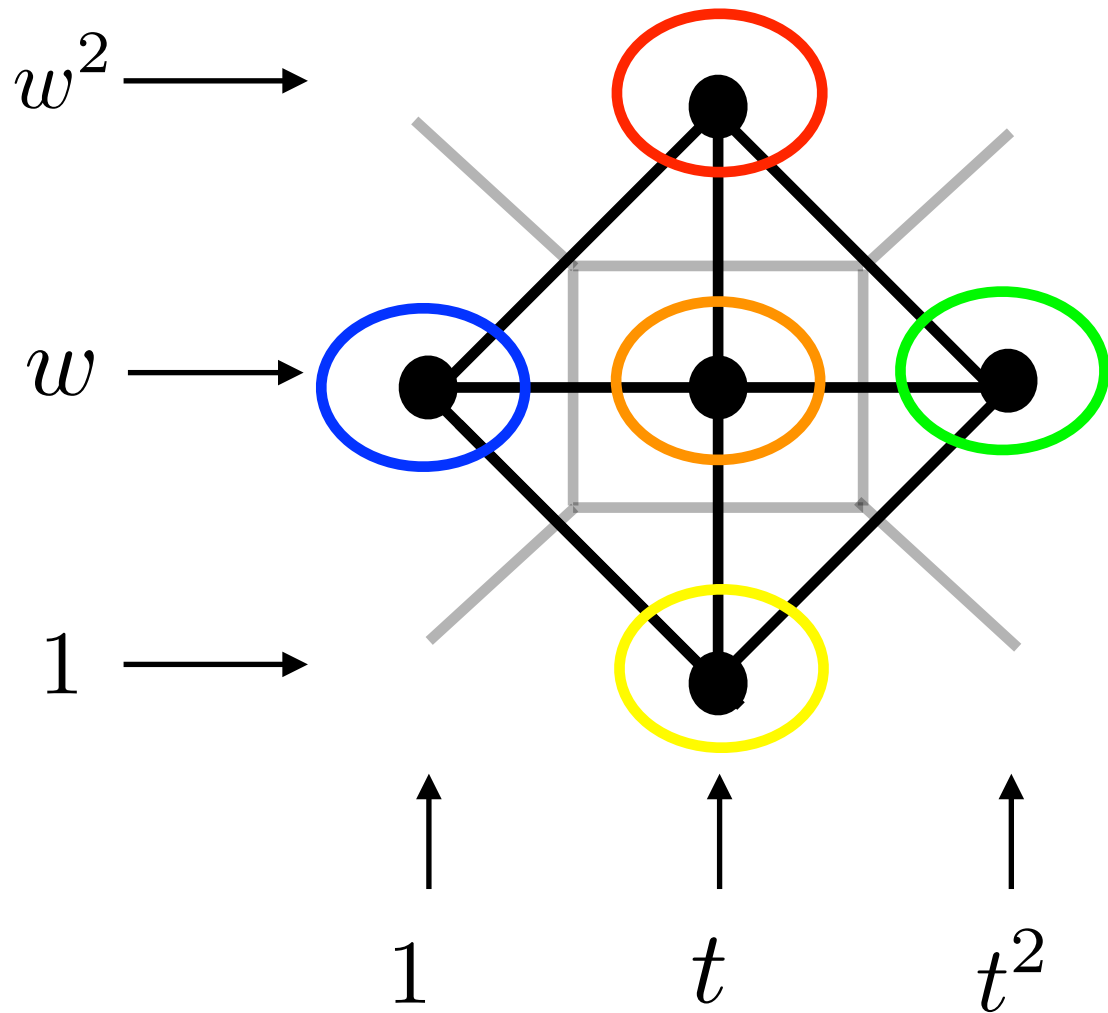
M-theory: M5-brane

SW curve for 5d N=1 gauge theory

Seiberg-Witten Curve from 5-brane web

[’97 Aharony, Hanany, Kol]

“Dual graph” of the 5-brane web



Seiberg-Witten curve

$$C_{10}w + C_{21}w^2t + C_{11}wt + C_{12}wt^2 + C_{01}t = 0$$

$$w = e^{-\frac{x_5 + ix_4}{R_A}}, \quad t = e^{-\frac{x_6 + ix_{11}}{R_M}}$$

SW 1-form: $\lambda_{\text{SW}} \sim \log t d \log w$

Various approaches for Seiberg-Witten curve

- **Original field theoretic approach**

['94 Seiberg, Witten], ...

- **Spectral curve of the integrable system**

['95 Gorsky, Krichever, Marshakov, Mironov, Morozov], ['95 Martinec, Warner],

- **Geometric engineering (Calabi-Yau compactification)**

['96 Katz, Klemm, Vafa], ...

- **Brane setup (Hanany-Witten type, 5-brane web)**

['97 Witten], ...

- **6d $N=(2,0)$ on Riemman surface (class S)**

['09 Gaiotto],

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['09 Gaiotto],

⋮

Original discussion for geometric engineering approach

[’96 Katz, Klemm, Vafa],

4d N=2 gauge theory is realized by IIA on (local) CY_3



Local mirror symmetry

IIB on (local) CY_3



“Field theory limit”(?)

**Seiberg Witten curve (geometry)
for 4d N=2 gauge theory**

Current understanding for geometric engineering approach

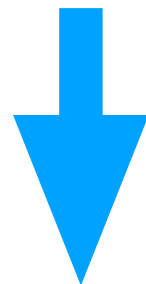
5d N=1 gauge theory **on S^1** is realized
by IIA on (local) $CY_3 = M$ on $S^1 \times$ (local) CY_3



Local mirror symmetry

IIB on (local) CY_3
= Seiberg Witten curve (geometry)
for 5d N=1 gauge theory on S^1

cf ['00 Eguchi, Kanno]



~~“Field theory limit” (?)~~

“4d limit”

Seiberg Witten curve (geometry)
for 4d N=2 gauge theory

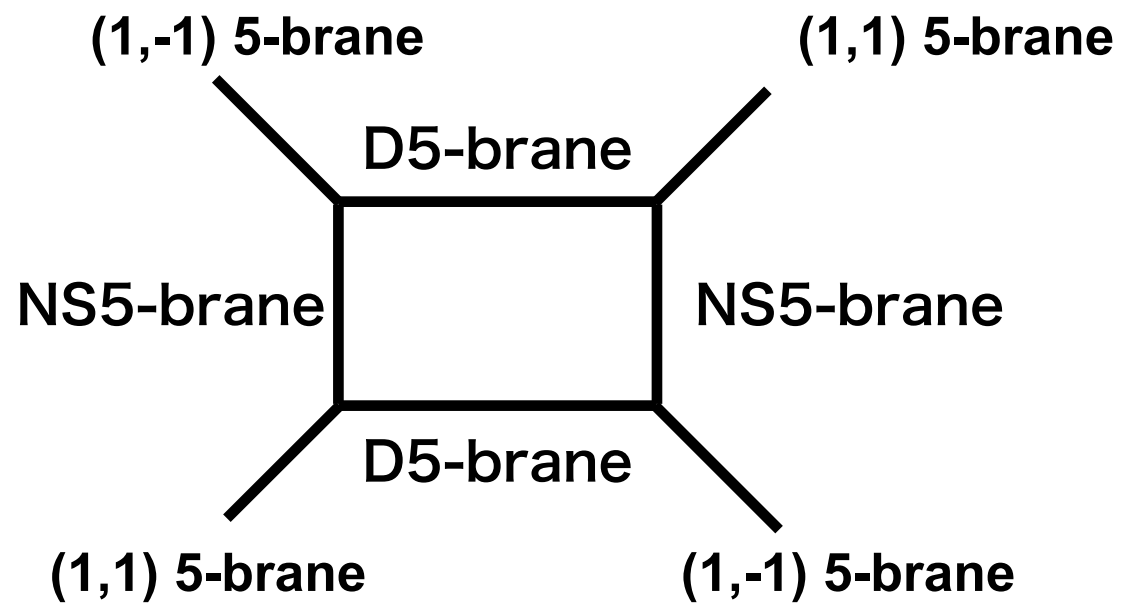
(Local) Calabi-Yau 3-fold “describes” 5d N=1 gauge theory on S^1

**Local mirror dual gives SW curve (geometry) for 5d N=1
gauge theory on S^1**

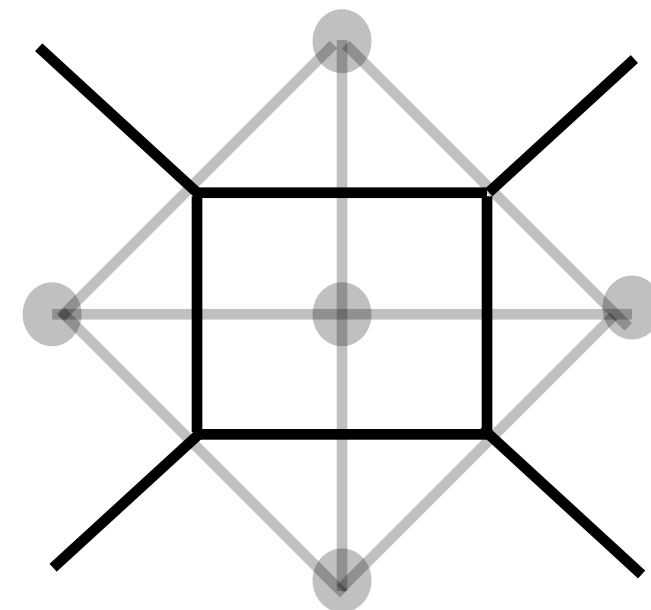
**Topological string partition function reproduces Nekrasov
partition function for 5d N=1 gauge theory on S^1**

Relation between 5-brane web and toric diagram

5-brane web



(Dual graph of) toric diagram for toric Calabi-Yau 3-fold



They are “equivalent” [’97 Leung-Vafa]

and actually connected by the chain of string theory duality [’98 Karch, Lust, Smith]

Find the corresponding M5-brane configuration for given 5-brane web diagram



Equivalent problem

Find local mirror of given toric Calabi-Yau 3-fold

Find the corresponding M5-brane configuration for given 5-brane web diagram **with orientifold plane**

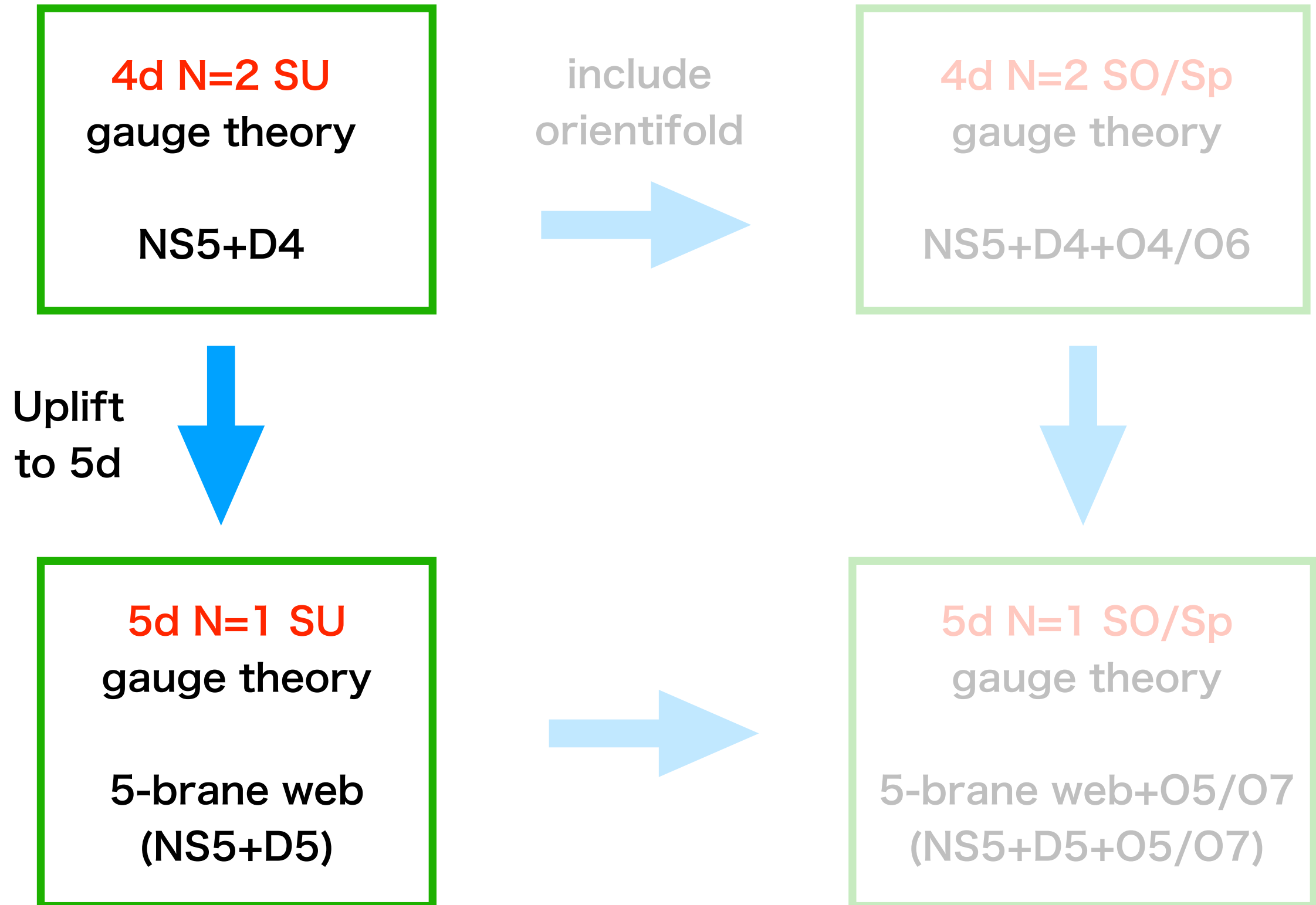


Equivalent problem ?

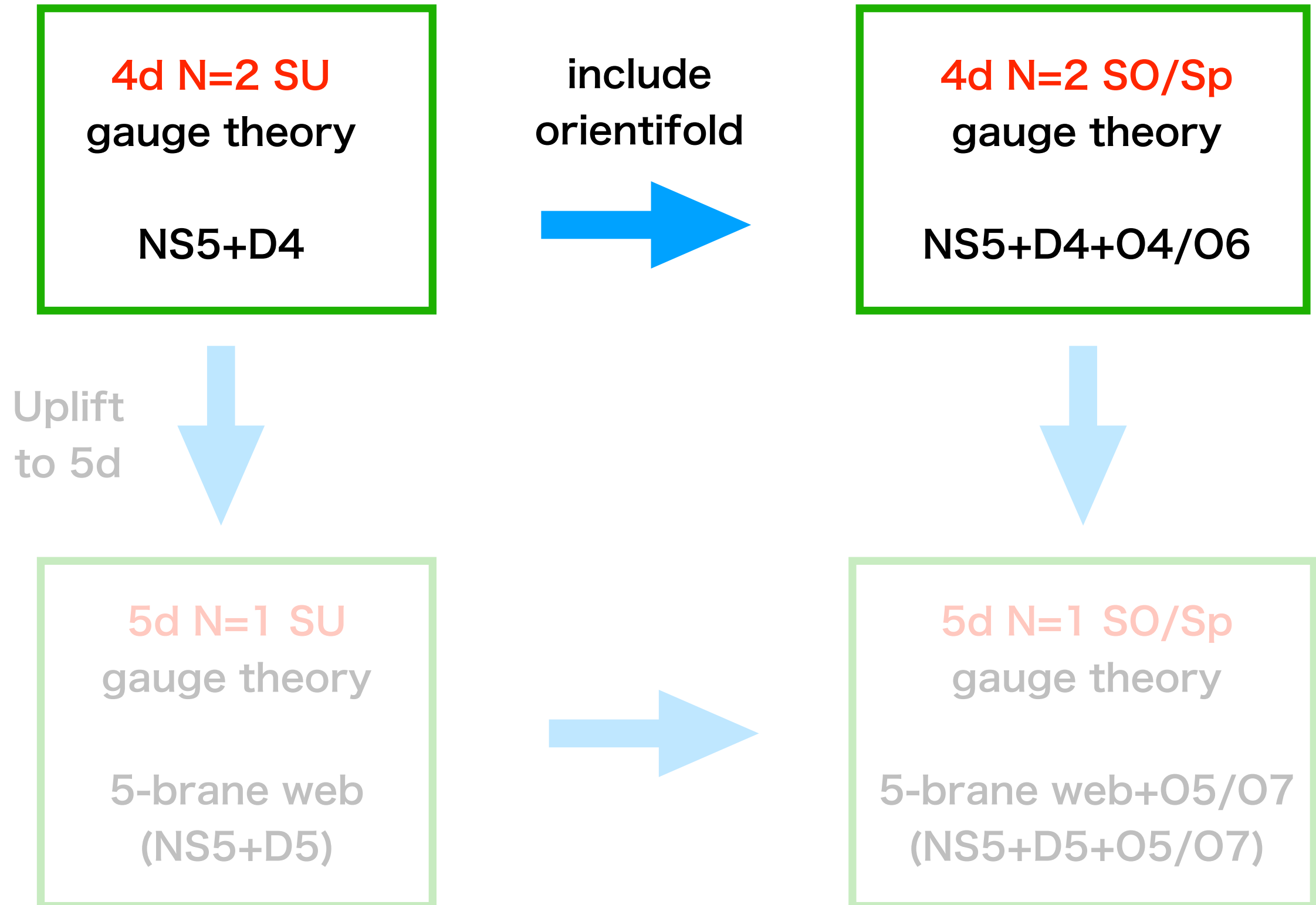
Find local mirror of given **non-toric Calabi-Yau 3-fold (“Generalization” of the resolution of D-type singularity?)**

Unlike the toric case, the correspondence is not established enough although there are various examples to support it.

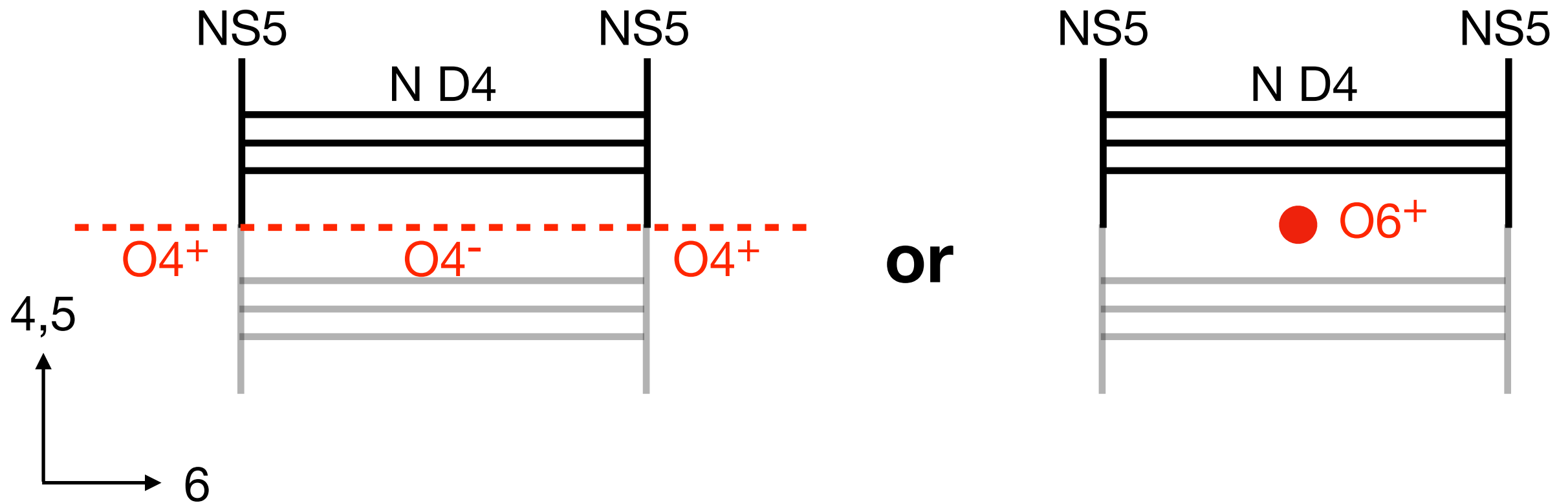
Generalization



Generalization



Brane setup for 4d $N=2$ $SO(2N)$



	0	1	2	3	4	5	6	7	8	9
NS5-brane	—	—	—	—	—	—	•	•	•	•
D4-brane	—	—	—	—	•	•	—	•	•	•
O4-plane	—	—	—	—	•	•	—	•	•	•
O6-plane	—	—	—	—	•	•	•	—	—	—

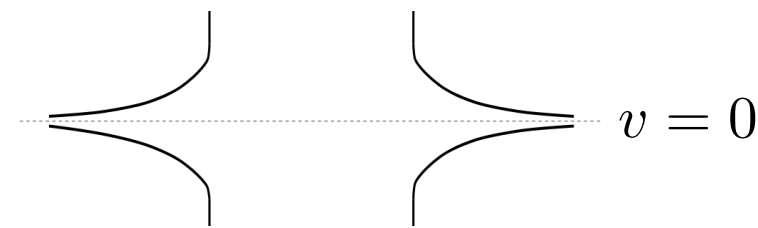
SW curve for 4d N=2 SO(2N)


We need to impose the following constraints:

① Invariant under O4 $(v, t) \rightarrow (-v, t)$ or O6 $(v, t) \rightarrow (-v, t^{-1})$

$$v = x_5 + ix_4, \quad t = e^{-\frac{x_6 + ix_{11}}{R_M}}$$

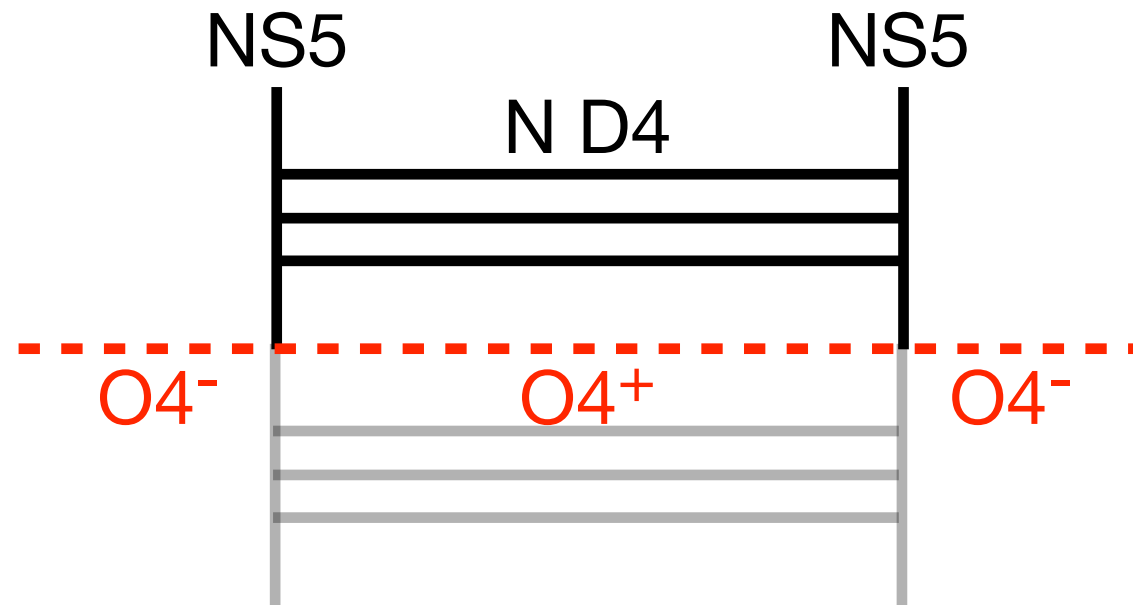
② $v \rightarrow 0$ as $t \rightarrow 0, \infty$



 $v^2 t^2 + \underline{p(v^2)} t + v^2 = 0$

Polynomial of v^2

SW curve for 4d N=2 Sp(N)



There was a confusion

$$t^2 + v^2 p(v^2)t + 1 = 0$$

['97 Brandhuber, Sonnenschein, Theisen, Yankielowicz]

(cf ['97 Marshakov, Mironov, Morozov])

v^2 corresponding to $O4^-$

vs

$$t^2 + (v^2 p(v^2) + \underline{2})t + 1 = 0$$

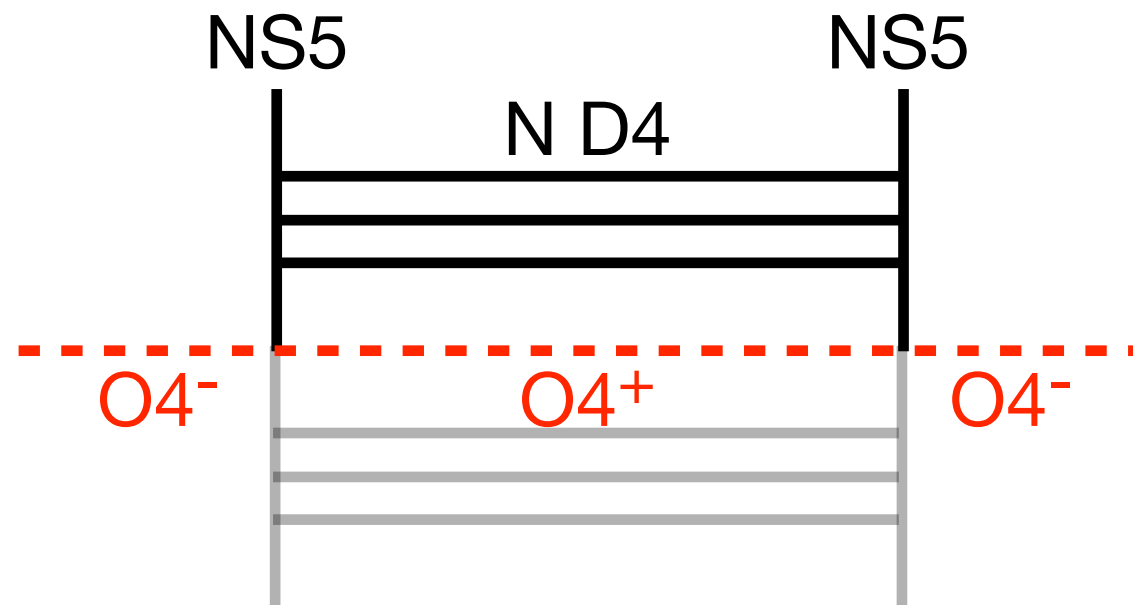
['97 Landsteiner, Lopez, Lowe]

(cf ['95 Martinec, Warner] , ['96 Argyres, Shapere])

Double root at $v = 0$

$$(t^2 + 2t + 1 = 0)$$

SW curve for 4d N=2 Sp(N)



There was a confusion

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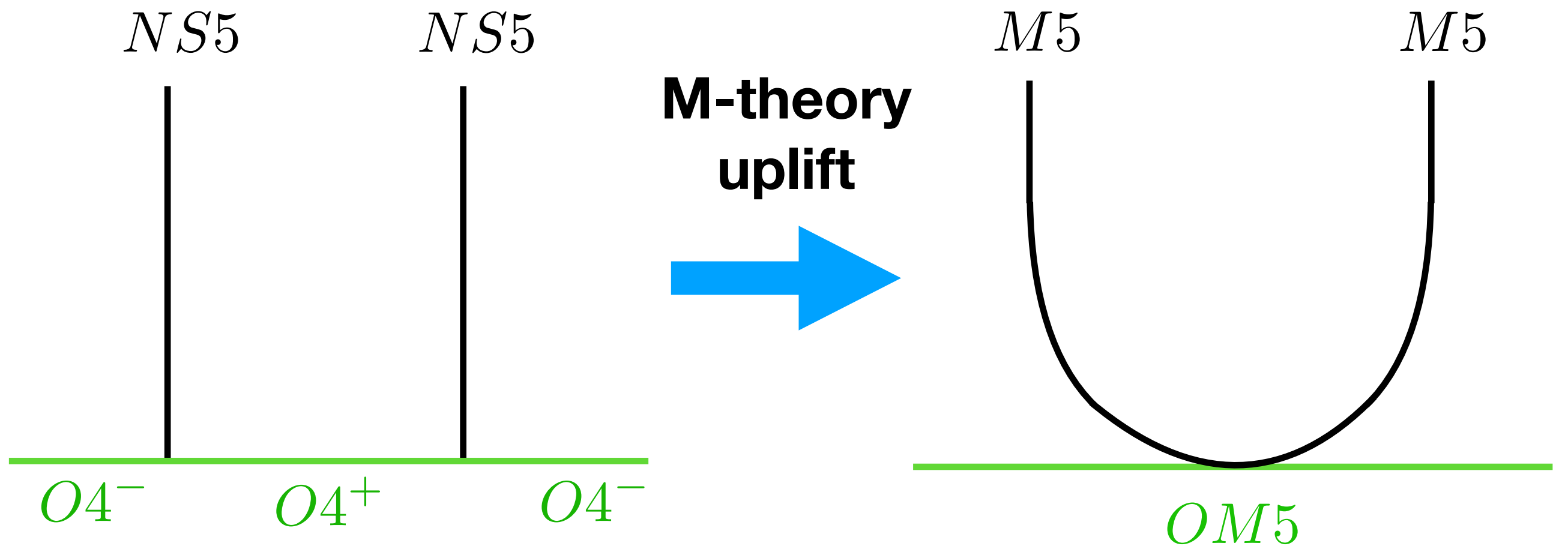
Double root at $v = 0$

$$(t^2 + 2t + 1 = 0)$$

Boundary condition at OM5-plane

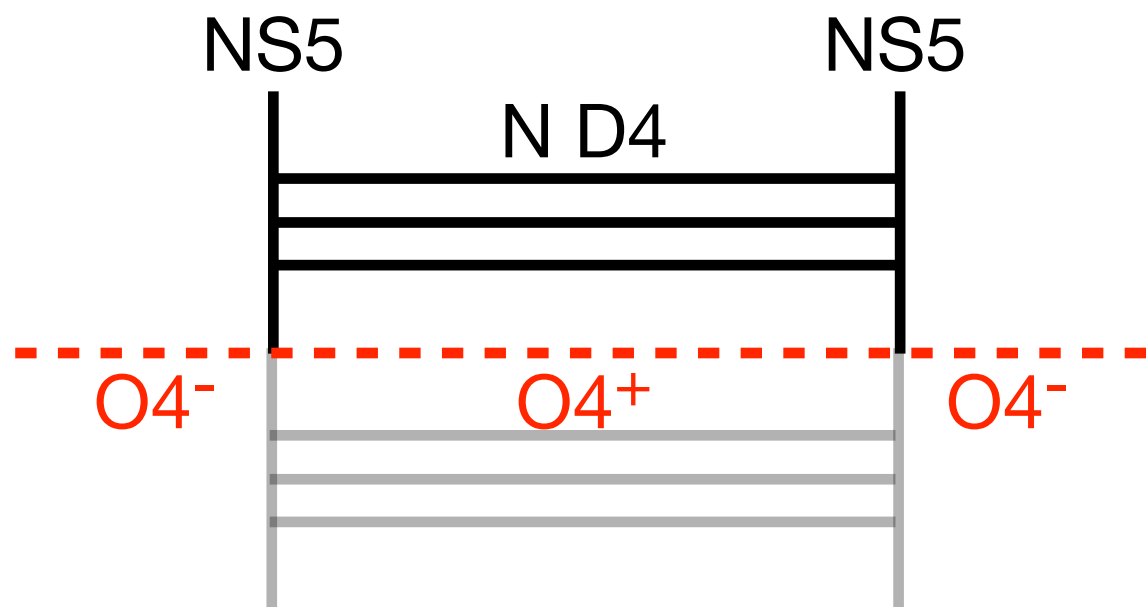
The curve has a double root at OM5-plane

cf [Hori '96]
[Landsteiner, Lopez, Lowe 97']



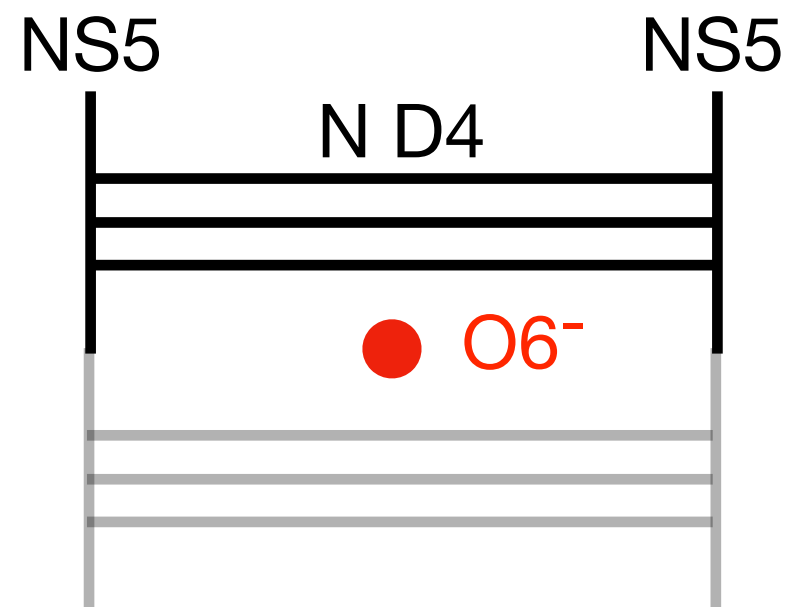
Double root at $v = 0$

SW curve for 4d N=2 Sp(N)



['97 Landsteiner, Lopez, Lowe]

or



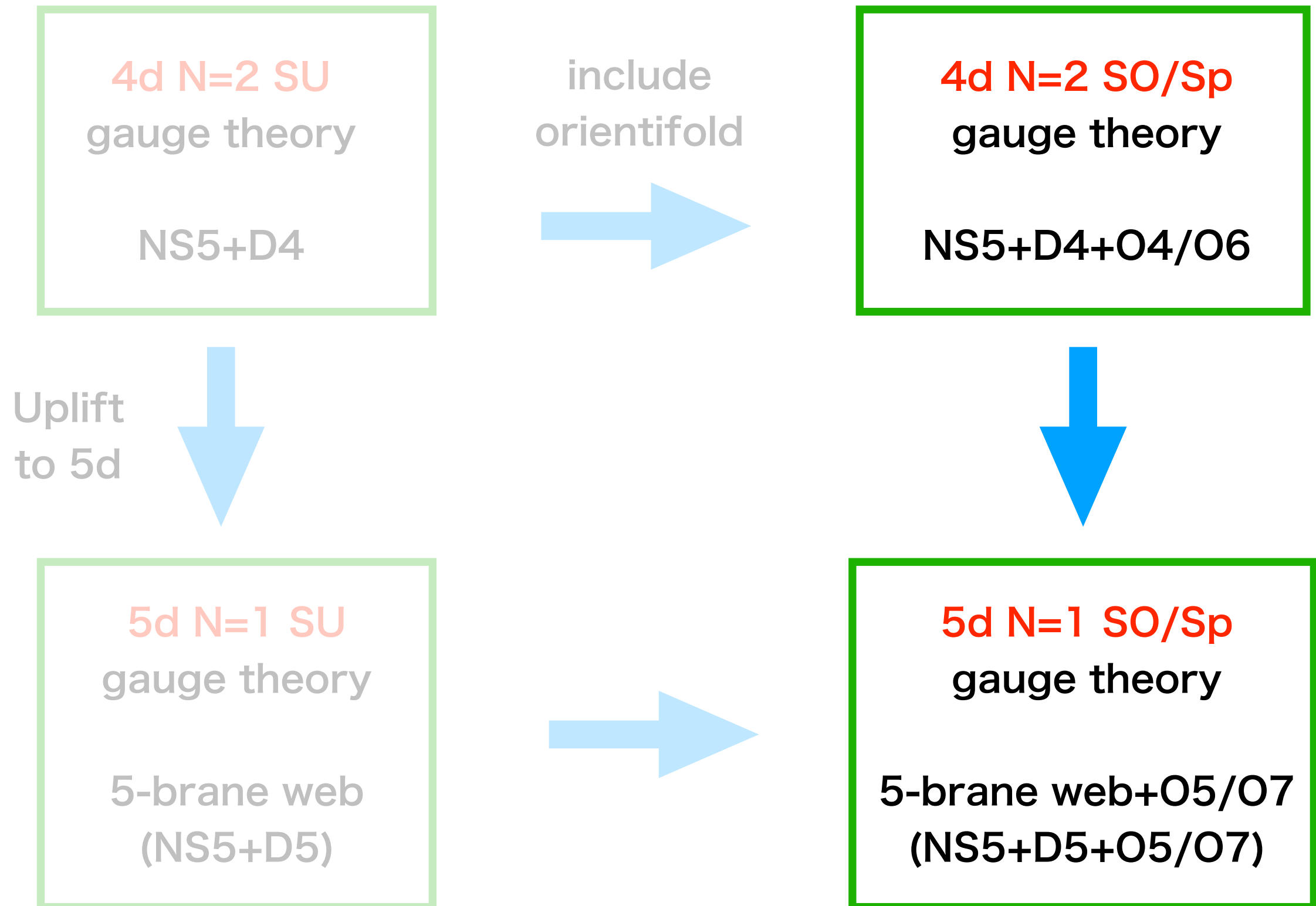
['97 Landsteiner, Lopez]



$$t^2 + (v^2 p(v^2) + 2)t + 1 = 0$$

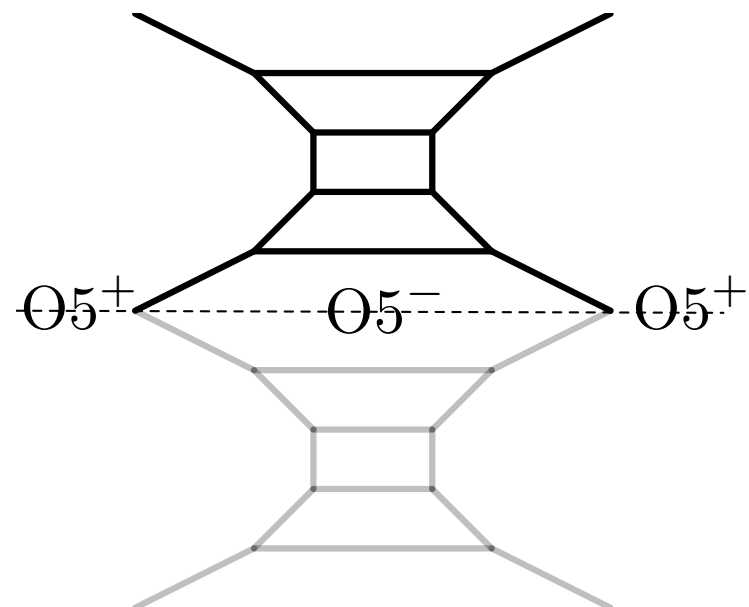
**Seiberg-Witten curves
from
5-brane webs with orientifold planes**

Generalization



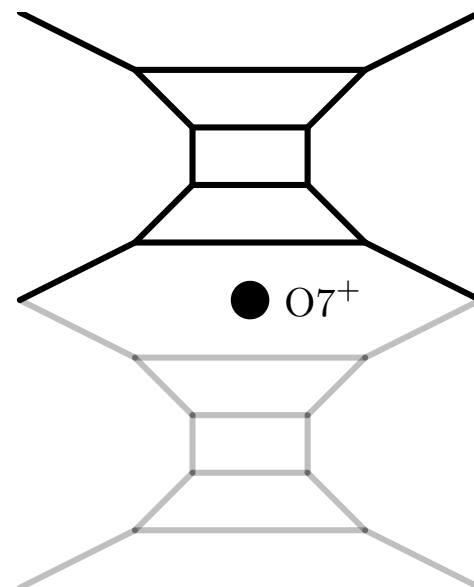
SW curve for 5d N=1 SO(2N) on S¹

[’97 Brandhuber, Itzhaki, Sonnenschein, Theisen, Yankielowicz]

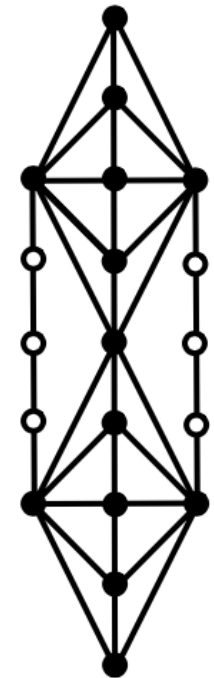


or

[’23 Hayashi, Kim, Lee, Yagi]



Dual graph



① Invariant under $(w, t) \rightarrow (w^{-1}, t)$ or $(w, t) \rightarrow (w^{-1}, t^{-1})$

② $w \rightarrow \pm 1$ as $t \rightarrow 0$ and $t \rightarrow \infty$

(O5 \rightarrow Two O4s at $w = \pm 1$, O7 \rightarrow Two O6s at $(w, t) = (\pm 1, t)$)

$$(w - w^{-1})^2 t + \underline{p(w + w^{-1})} + (w - w^{-1})^2 t^{-1} = 0$$

Polynomial of $w+w^{-1}$

SW curve for 5d N=1 Sp(N) on S¹

4d N=2

$$t^2 + v^2 p(v^2)t + 1 = 0$$

[’97 Brandhuber, Sonnenschein, Theisen, Yankielowicz]

(cf [’97 Marshakov, Mironov, Morozov])

vs

$$t^2 + (v^2 p(v^2) + \underline{2})t + 1 = 0$$

[’97 Landsteiner, Lopez, Lowe]

(cf [’95 Martinec, Warner] , [’96 Argyres, Shapere])



5d uplift

5d N=1

$$t^2 + (w - w^{-1})^2 p(w + w^{-1})t + 1 = 0$$

[’97 Brandhuber, Itzhaki, Sonnenschein,
Theisen, Yankielowicz]



?

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['17 H.Hayashi, S-S. Kim, K. Lee, F.Y.] (Sp(1) from O5)

['21 X.Li, F.Y.] (Sp(N) from O5)

['23 H.Hayashi, S-S. Kim, K. Lee, F.Y.] (Sp(N) from O7)

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**Inconsistent/Consistent with Nekrasov partition function
obtained by blow up formula.**

['21 Brini-Osuga]

SW curve for 5d N=1 Sp(N) on S¹

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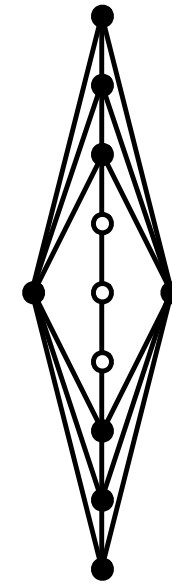
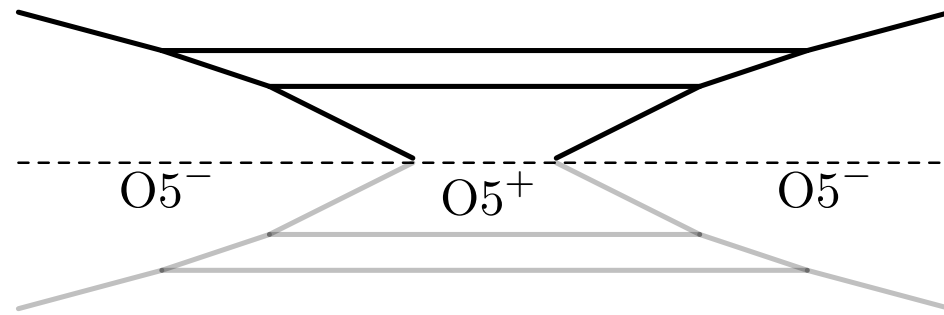
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**Inconsistent/Consistent with Nekrasov partition function
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SW curve for 5d N=1 Sp(N) on S¹ from O5



① **Invariant under** $(w, t) \rightarrow (w^{-1}, t)$

② **Double root at** $w = \pm 1$

$$t^2 - \left(2 + (w - w^{-1})^2 p(w + w^{-1})\right) t + 1 = 0$$

$(t = 1 \text{ at } w = \pm 1)$

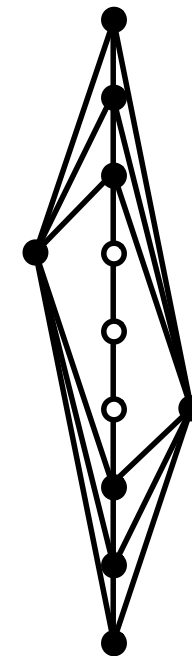
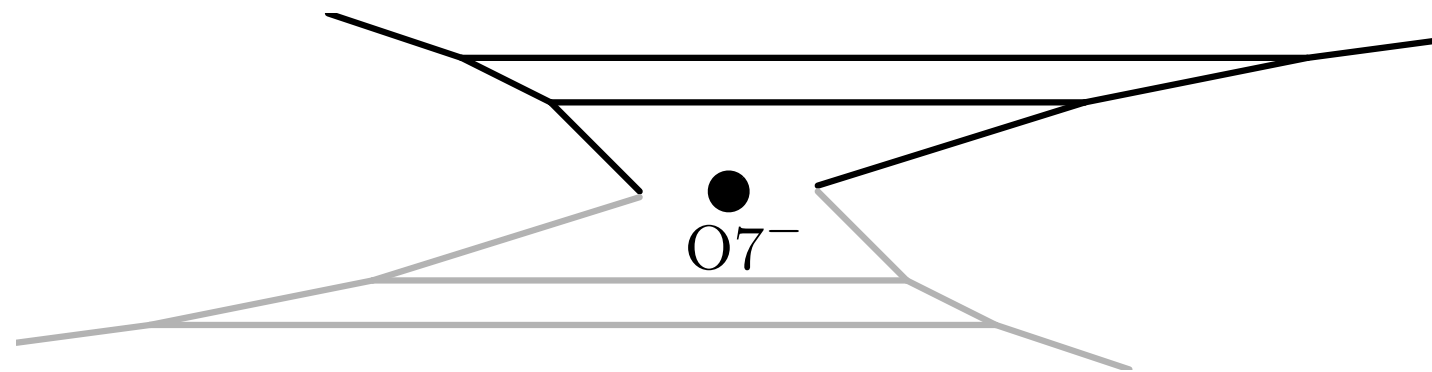
$\longrightarrow (N, \theta) = (\text{odd}, 0), (\text{even}, \pi)$

$$t^2 - \left(w + w^{-1} + (w - w^{-1})^2 p(w + w^{-1})\right) t + 1 = 0$$

$(t = 1 \text{ at } w = 1, \quad t = -1 \text{ at } w = -1)$

$\longrightarrow (N, \theta) = (\text{even}, 0), (\text{odd}, \pi)$

SW curve for 5d N=1 Sp(N) on S¹ from O7



① **Invariant under** $(w, t) \rightarrow (w^{-1}, t^{-1})$

② **Double root** $t = 1$ **at** $w = \pm 1$ (O7 \rightarrow Two O6s at $(w, t) = (\pm 1, t)$)

$$w^{2n}t - (2 + (w - w^{-1})^2 p(w)) + w^{-2n}t^{-1} = 0$$

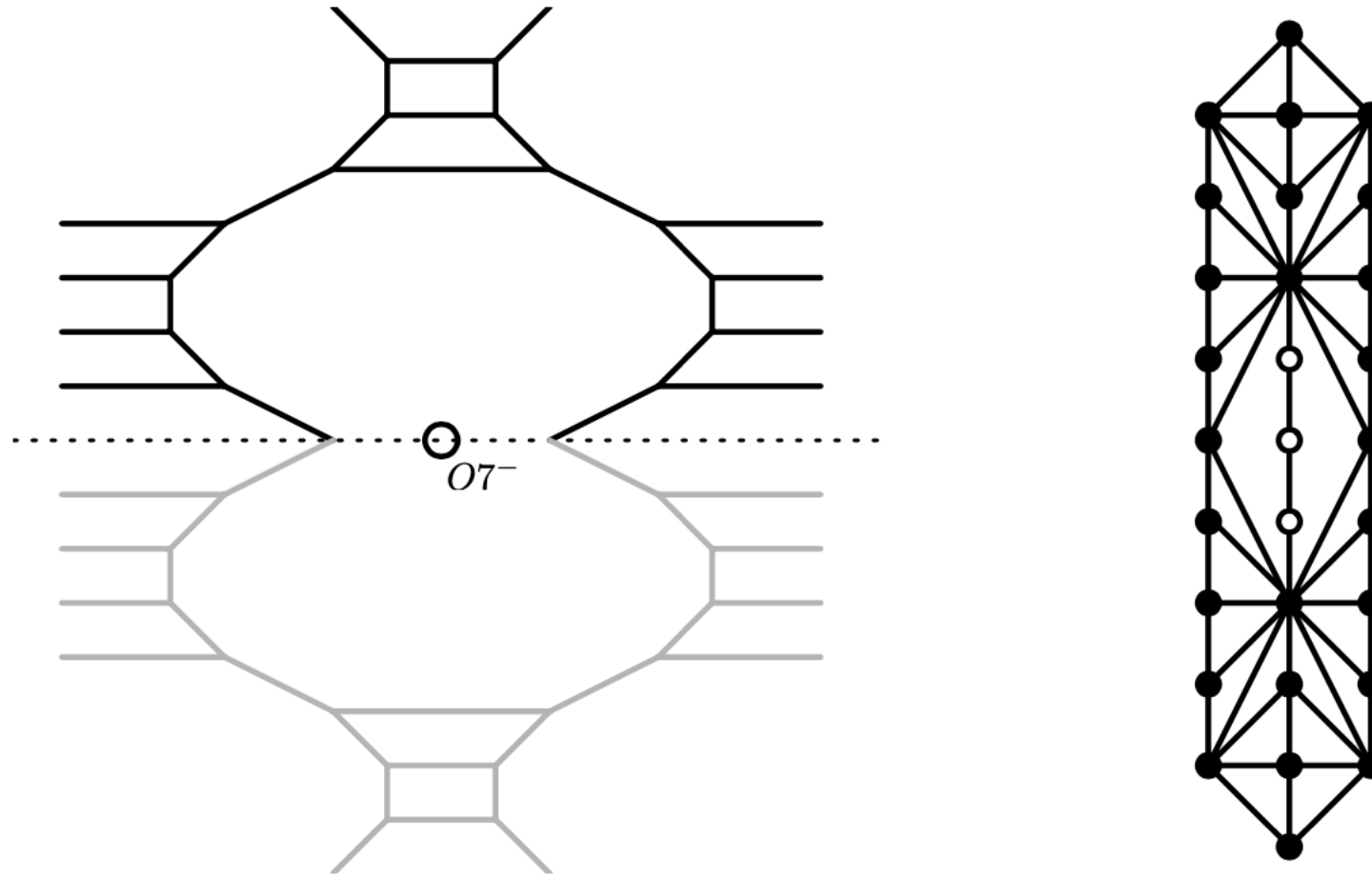
$$\longrightarrow (N, \theta) = (\text{odd}, 0), (\text{even}, \pi)$$

$$w^{2n-1}t - (w + w^{-1} + (w - w^{-1})^2 p(w + w^{-1})) + w^{-2n+1}t^{-1} = 0$$

$$\longrightarrow (N, \theta) = (\text{even}, 0), (\text{odd}, \pi)$$

Agree with the previous results by $t \rightarrow w^{-2n}t, \quad t \rightarrow w^{-2n+1}t,$

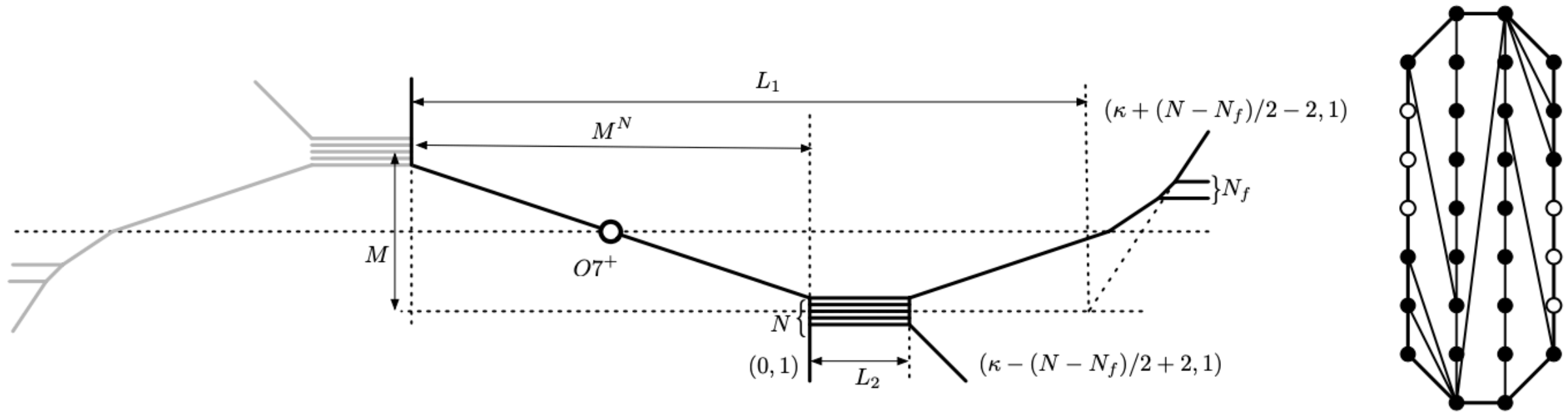
Adding flavor to 5d N=1 Sp(N) on S¹ from O7



$$p(w)t + \left(-\frac{p(1)(w+1)^2}{2w} + \frac{p(-1)(w-1)^2}{2w} + (w-w^{-1})^2 \hat{p}(w) \right) + p(w^{-1})t^{-1} = 0$$

$$p(w) = w^{-\frac{N_f}{2} - \kappa} \prod_{i=1}^{N_f} (w - M_i), \quad M_i = e^{-\beta m_i}$$

SW curve for 5d N=1 SU(N)+1Sym+N_f F from O7⁺

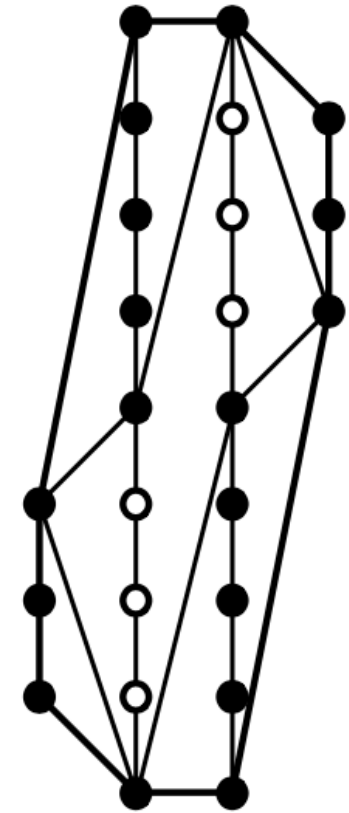
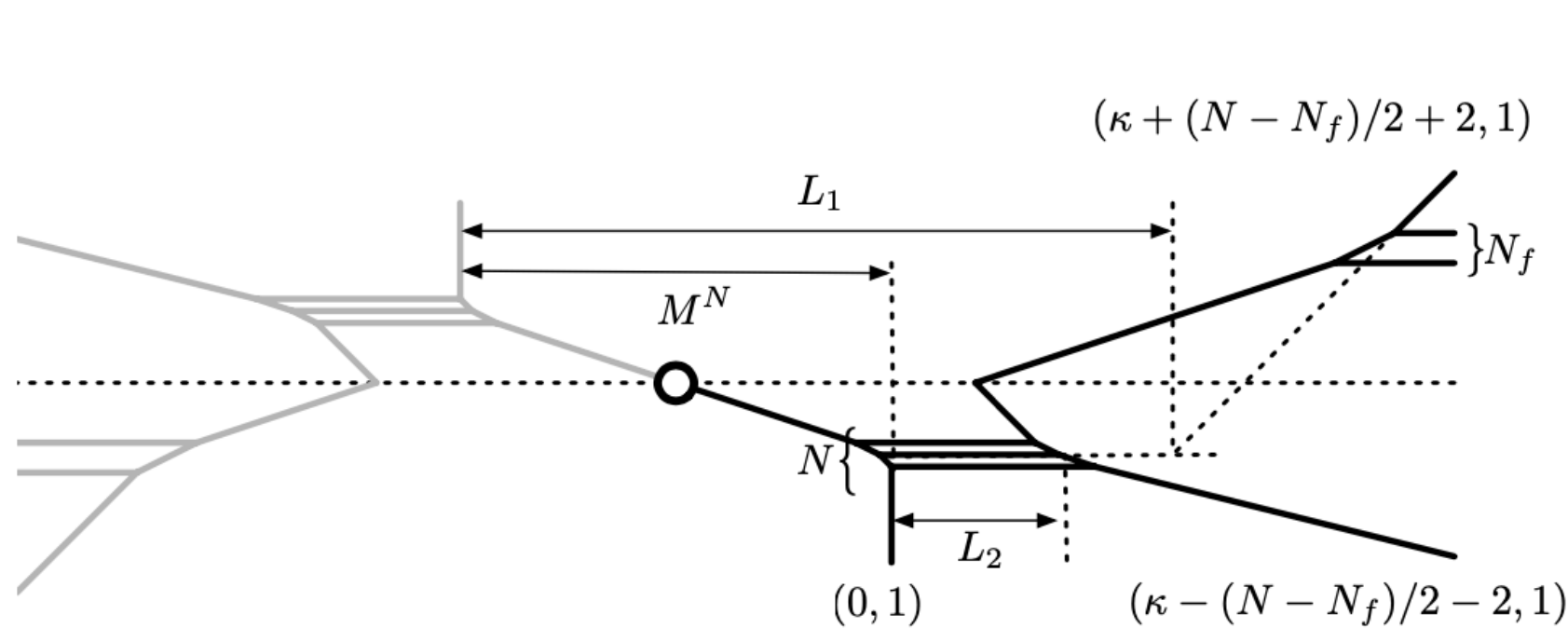


① **Invariant under** $(w, t) \rightarrow (w^{-1}, t^{-1})$

② $w \rightarrow \pm 1$ as $t \rightarrow 0$ and $t \rightarrow \infty$

$$(w - w^{-1})^2 \check{p}_3(w)t^3 + p_2(w)t^2 - p_2(w^{-1})t - (w - w^{-1})^2 \check{p}_3(w^{-1}) = 0$$

SW curve for 5d N=1 SU(N)+1AS+Nf F from O7-



① **Invariant under** $(w, t) \rightarrow (w^{-1}, t^{-1})$

② **Triple root** $t = 1$ **at** $w = \pm 1$

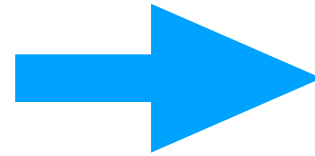
③ $\left| \frac{\partial t}{\partial w}(w = \pm 1) \right| < \infty$

$$p_3(w)t^3 + p_2(w)t^2 - p_2(w^{-1})t - p_3(w^{-1}) = 0.$$

$$p_2(w) = -p_3(w) - \frac{1}{2}p_3(1)\frac{(w+1)^2}{w} + \frac{1}{2}p_3(-1)\frac{(w-1)^2}{w} + (w-w^{-1})^2\hat{p}_2(w).$$

Observation

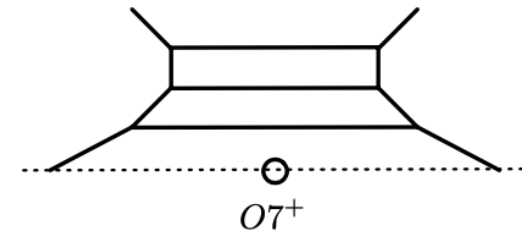
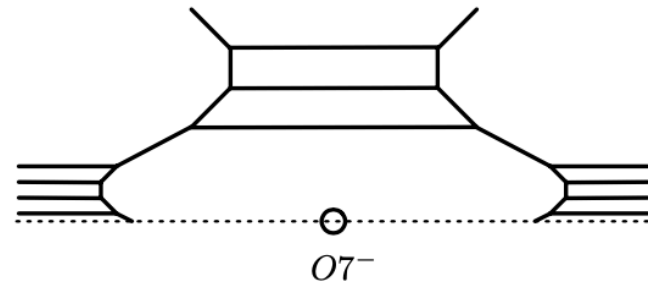
SW curve for 5d N=1 Sp(N) + 8F



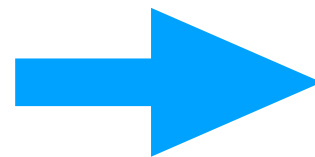
SW curve for 5d N=1 SO(2N)

$$M_1 = M_2 = M_3 = M_4 = 1,$$

$$M_5 = M_6 = M_7 = M_8 = -1,$$



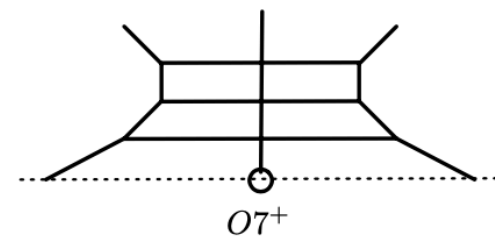
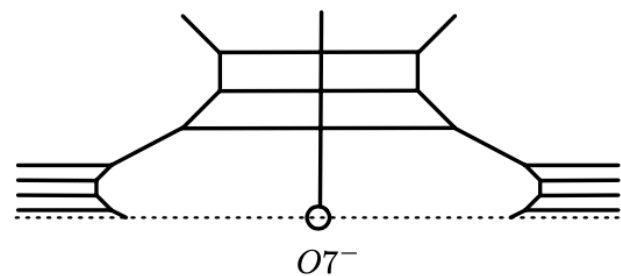
**SW curve for 5d N=1
SU(N) + 1AS + 8F**



**SW curve for 5d N=1
SU(N) + 1Sym**

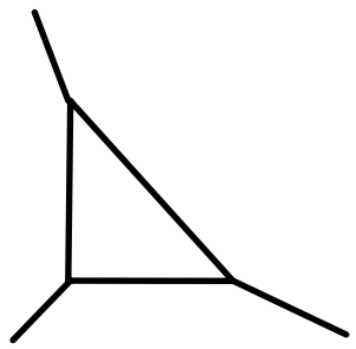
$$M_1 = M_2 = M_3 = M_4 = 1,$$

$$M_5 = M_6 = M_7 = M_8 = -1,$$

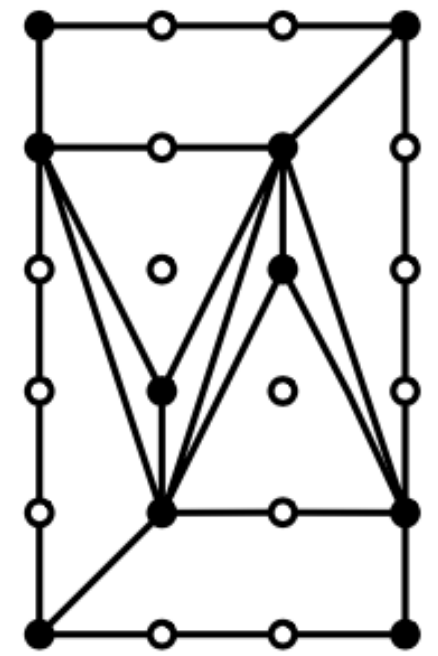
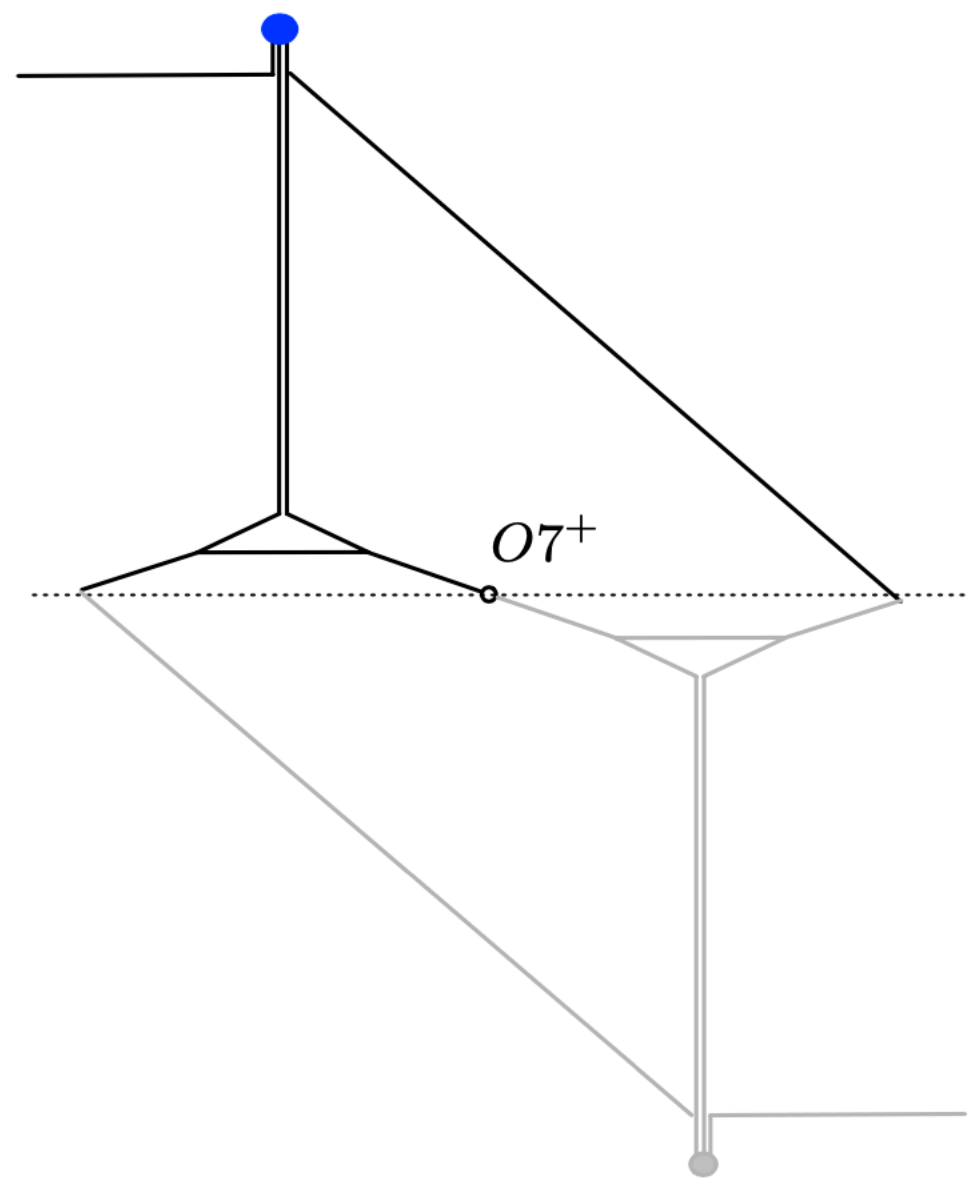
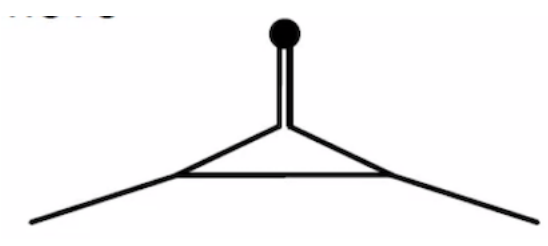


Interpretation: “O7⁺ = O7⁻ + 8 D7”

Non-Lagrangian theory realized by 5-brane web with O7



HW move
+
SL(2,Z)



Local P² theory
(decoupling limit of
SU(2)_π SYM)

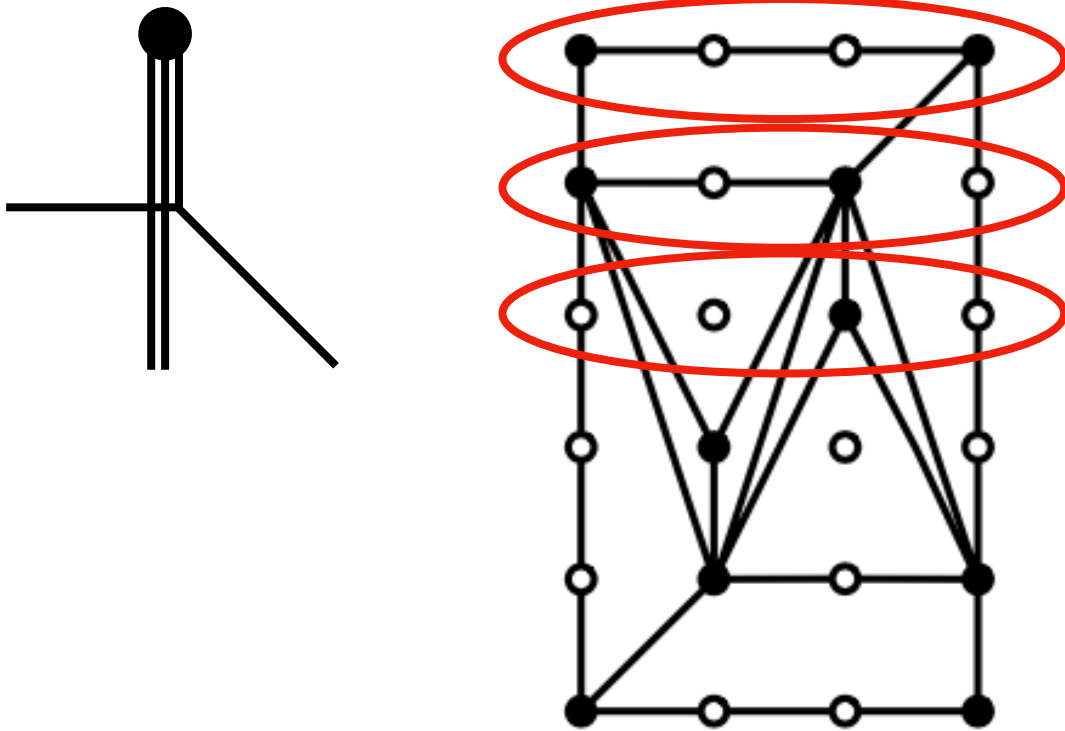
Local P² theory
+ “adjoint”

SW curve for local P^2 theory + “adjoint”

① Invariant under $(w, t) \rightarrow (w^{-1}, t^{-1})$

② $w \rightarrow \pm 1$ as $t \rightarrow 0$ and $t \rightarrow \infty$

③



$\propto (t - M)^3$

$\propto (t - M)^2(t - c_0)$

$\propto (t - M)(t - c_1)(t - c_2)$

$$\begin{aligned}
 & t^3 (w^2 - 1)^2 (w - M^3) \\
 & + t^2 \left(-3Mw^5 + (2M^4 + M^{-2})w^4 + Uw^3 - MUw^2 - (M^5 + 2M^{-1})w + 3M^2 \right) \\
 & + t \left(-3M + (2M^4 + M^{-2})w + Uw^2 - MUw^3 - (M^5 + 2M^{-1})w^4 + 3M^2w^5 \right) \\
 & + (1 - w^2)^2 (1 - M^3w) = 0 .
 \end{aligned}$$

Observation

Double discriminant of SW curve for local P² theory + “adjoint”

$$\Delta_{\text{O7}^+} = \Delta_{\text{phys}} \Delta_{\text{unphys}}$$

$$\begin{aligned} \Delta_{\text{phys}}(U) = & \left(\frac{U^2}{M^2} - 2(\chi_1 + 4) \frac{U}{M} - \chi_1^3 + 3\chi_1^2 + 12\chi_1 + 8 \right)^5 \\ & \times \left(\frac{U^3}{M^3} + (15\chi_1 - 32) \frac{U^2}{M^2} + (3\chi_1^2 - 32\chi_1 - 32) \frac{U}{M} \right. \\ & \left. - 27\chi_1^4 - 19\chi_1^3 + 120\chi_1^2 + 192\chi_1 + 80 \right), \end{aligned}$$

$$\begin{aligned} \Delta_{\text{unphys}}(U) = & 256 M^{36} (\chi_1 - 2)^3 \\ & \times \left(\frac{U^3}{M^3} - 3(\chi_1^2 - 4\chi_1 + 10) \frac{U^2}{M^2} + 3(\chi_1^4 - 17\chi_1^3 + 45\chi_1^2 - 8\chi_1 - 8) \frac{U}{M} \right. \\ & \left. - \chi_1^6 + 12\chi_1^5 + 57\chi_1^4 - 236\chi_1^3 + 30\chi_1^2 + 120\chi_1 + 80 \right)^3. \end{aligned}$$

$$\chi_1 = M^2 + M^{-2}$$



$$\Delta_{\text{phys}}(U) = 0 \quad \leftrightarrow \quad \Delta_{\text{frozen}}(u) = 0 \quad U = M(u - 56 - \chi_1)$$

Double discriminant of SW curve for 5d Sp(1) + 7F with tuned masses

$$M_0 = \widetilde{M}^{-1}, \quad M_{1,2,3} = \widetilde{M}, \quad M_{4,5,6,7} = -\widetilde{M}$$

$$\begin{aligned} \Delta_{\text{frozen}}(u) = & g_2^3 - 27g_3^2 \\ = & (u^2 - 4(\chi_1 + 30)u - \chi_1^3 + 6\chi_1^2 + 244\chi_1 + 3592)^4 \\ & \times (u^3 + 12u^2\chi_1 - 200u^2 - 24u\chi_1^2 - 1312u\chi_1 + 12960u \\ & - 27\chi_1^4 - 8\chi_1^3 + 1464\chi_1^2 + 36064\chi_1 - 274096), \end{aligned}$$

$$\chi_1 = \widetilde{M}^2 + \widetilde{M}^{-2}$$

Conclusion

**For 5-brane webs with O-planes,
the SW curve is obtained by imposing
 Z_2 invariance + further constraint**

**Non-trivial relation between two SW curves is
obtained by “ $O7^+ \Leftrightarrow O7^- + 8D8$ ”**

**This technique is applicable for non-Lagrangian
theory like local P^2 theory + “adjoint”**